Voluntary Design Guidelines for Designated PET Bottles

Revised on March 1, 2016

The Council for PET Bottle Recycling (JAPAN)
## History of Revision

<table>
<thead>
<tr>
<th>Date of revision</th>
<th>Revision details and explanations</th>
</tr>
</thead>
<tbody>
<tr>
<td>October, 1992</td>
<td>“Voluntary Design Guidelines” for soft drinks and soy sauce were established separately under guidance of the Ministries of International Trade and Industry, of Agriculture and Forestry, and of Finance.</td>
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<tr>
<td>April, 1995</td>
<td>The guidelines were revised and retitled “Voluntary Design Guidelines for Type II Designated PET Bottles,” integrating soft drinks, soy sauce and alcoholic beverages.</td>
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<tr>
<td>April, 1997</td>
<td>The “Law for Promotion of Sorted Collection and Recycling of Containers and Packaging” (the “Container and Package Recycling Law”) came into effect.</td>
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<tr>
<td>February, 1998</td>
<td>The “Guidelines” were revised when the “Law” came into effect, and were organized into “Basic Standards” and “Exceptions.” They prohibited the use of aluminum closures and recommended the use of plastic closures (PE/PP) instead.</td>
</tr>
<tr>
<td>April, 2001</td>
<td>The “Guidelines” were revised and retitled “Voluntary Design Guidelines for Designated PET Bottles” to enable independent judgment using evaluation criteria. Guidance was introduced to use clear bottle bodies, to prohibit direct printing on the bottles, and to change to labels that leave no residual adhesive.</td>
</tr>
<tr>
<td>March, 2011</td>
<td>Mainly label-related guidelines were revised.</td>
</tr>
<tr>
<td>May, 2015</td>
<td>Current material evaluation criteria were detailed in Appendix 1-1, and evaluation criteria for bottle-to-bottle (BtoB) mechanical recycling were added as Appendix 1-2.</td>
</tr>
<tr>
<td>March, 2016</td>
<td>Appendix 1-2 was integrated with Appendix 1-1 and changed to Appendix 1. The overall text of the “Guidelines” was rearranged.</td>
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Appendixes

Appendix 1: Material Evaluation Criteria for Bottles (including Handles)
Appendix 2: Evaluation Criteria for Labels (including Printing, Adhesives and other Components)
1. Introduction --- Objectives

These “Voluntary Design Guidelines for Designated PET Bottles” (“Guidelines”), issued by The Council for PET Bottle Recycling (the “Council”), prescribe the requirements for bottles, labels (including printing and adhesives), closures and other components of PET bottles used for designated products (soft drinks, milk-based beverages, alcoholic beverages and specified seasonings) under provisions of the Law for Promotion of Effective Utilization of Resources (“designated PET bottles”), so as to ensure containers are hygienic and otherwise have high suitability for recycling when reprocessed after use. The Council has repeatedly revised these “Guidelines,” in line with technological improvements and environmental change, to promote development of PET bottles that contribute to the 3 Rs of Reduce, Reuse, and Recycle.

2. Composition and Scope of Application

These “Guidelines” consist of this main document and two appendixes: “Appendix 1. Material Evaluation Criteria for Bottles” and “Appendix 2. Evaluation Criteria for Labels (including Printing, Adhesives and other Components)”. The “Guidelines” apply to PET bottle bodies and associated packaging of designated PET bottles for soft drinks, milk-based beverages, alcoholic beverages and specified seasonings sold in Japan, regardless of whether produced domestically or imported.

3. Basic Requirements

Bodies and associated packaging of designated PET bottles must comply with the Basic Standards shown in Table 1. Where compliance with the Basic Standards is unclear, they must be evaluated in line with the material evaluation criteria shown in these "Guidelines" because of their anticipated impact on reprocessing and recycling.

4. Operation Rules

Not only members (organizations and companies) of the Council, but non-members as well, must follow these “Guidelines” when they produce, import or sell soft drinks, alcoholic beverages or specified seasonings packaged in designated PET bottles, or when they produce or import designated PET bottles and associated packaging for sale or consumption in Japan.

Bottles that do not comply with essential items in the Basic Standards may be used as exceptions if they are judged to comply when tested in accordance with the material evaluation criteria for bottles in Appendix 1 or for labels in Appendix 2. In these cases, the Council must receive a report before the bottles are marketed.

When bottles are judged to be in compliance with the Basic Standards and are used, the grounds for compliance must be readily available for disclosure upon request of the Council or the general community.
PET bottle recycling technologies have advanced significantly over recent years. Taking this into account, the Basic Standards and Material Evaluation Criteria in these "Guidelines" are revised in line with improvements in reprocessing technologies, changes in recycling technologies and changes in the environment.

<table>
<thead>
<tr>
<th>Components</th>
<th>Basic Requirements (∗ Required items; * Recommended items)</th>
<th>Remarks</th>
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<tbody>
<tr>
<td>Bottle</td>
<td></td>
<td></td>
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</tbody>
</table>
| Body       | Material                                         | PET only:  
- No material other than PET used by blending, multilayering, etc.  
- Ensure safety and hygiene and not impact on the recycling process |
|            | Color                                            | Not colored  
Excluding white crystallized neck finishes |
|            | Structure                                        | * Easily compressible |
|            | Base cup                                         | Not used |
| Other      | Handle                                           | Made of colorless PET or PE/PP with a specific gravity less than 1.0  
* PE/PP handles with a specific gravity less than 1.0 are recommended to be replaced with colorless PET |
|            | Printing                                         | No direct printing on bottles  
Excluding small printing of Best Before dates, production plant & lot, and other codes |
| Label      | Materials and separation (Including printing, adhesives and promotional labels, etc.) | Not contain PVC  
* Material and its thickness enable separation by specific gravity, pneumatic and washing processes during recycling  
* Inks do not transfer to PET bottles  
* Not use aluminum foil laminated labels  
* Not use aluminum-metalized labels |
|            | Detachment and separation                        | Shrink sleeves with perforations  
* For labels that are glued onto bottles, such as roll-on, sheet and tacky labels, adhesive usage and the area covered with the adhesive are recommended to be minimized for easily peeling off by hand, and leave no fragment or adhesive on the bottle surface when detached |
|            | Closure Material                                 | Not use aluminum closures  
Not contain PVC  
* Made mainly of PE/PP with a specific gravity less than 1.0  
* Where glass beads and gaskets are used, instructions for detaching them from the bottle after consumption are included on the label  
Applicable to shells, inner seals and liner materials |
|            | Price tags and other glued attachments (Requests for distributors and retailers) | * Where adhesives, glue or adhesive tapes are used to attach price tags, proof-of-purchase tapes and promotional labels, etc. at distributors or retailers, those are recommended to be attached to closures or labels. Where they are attached to the bottle bodies, they are easily detachable by hand, and leave no fragment or adhesive on the bottle surface when detached. |

PVC: Polyvinyl chloride, PE: Polyethylene, PP: Polypropylene

The Council for PET Bottle Recycling (JAPAN)  
2F, Nikkei Build, 7-16, Nihonbashi-Kodemma-cho, Chuo-ku, Tokyo 103-0001, Japan  
Tel: +81-3-3662-7591 Fax: +81-3-5623-2885  